

2870

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

05/00

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

6

Application Number	09/888,017
Filing Date	June 21, 2001
First Named Inventor	Craig L. Stevens
Group Art Unit	2812
Examiner Name	Unknown

RECEIVED  
TC 2812 NOV 3 2001

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment & Cover Sheet <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) _____ sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Deposit Account Authorization on Fee Transmittal Form	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Signed Oath/Declaration	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<b>Request for Withdrawal as Attorney or Agent (in triplicate)</b>
<input checked="" type="checkbox"/> Return Postcard	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement & PTO Form 1449	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts		
<input type="checkbox"/> Response to Incomplete Application		
Remarks		

RECEIVED  
APR 03 2002  
TC 1700  
RECEIVED  
APR 03 2002  
RECEIVED  
APR 03 2002  
RECEIVED  
APR 03 2002

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Marc A. Sockol, Reg. No. 40,823 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043
Signature	
Date	October 4, 2001

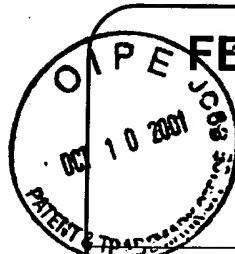
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 4, 2001

Typed or printed name	Sandy Yi
Signature	
Date	October 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

019 STATES - EXAM PROCESSING - DIP E  
Location 0300/INITIAL - EXAM CENTRAL FILES



# FEET TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 0

Complete if known

RECEIVED

Application Number	09/888,017
Filing Date	June 21, 2001 NOV 30 2001
First Named Inventor	Craig L. Stevens
Examiner Name	Unknown
Group / Art Unit	2812
Attorney Docket No.	50544.00003 (NVLS 387)

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: Squire, Sanders &amp; Dempsey, L.L.P.</p> <p>Deposit Account Name: <input type="text"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><input type="checkbox"/></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td><input type="checkbox"/></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td><input type="checkbox"/></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td><input type="checkbox"/></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td><input type="checkbox"/></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td><input type="checkbox"/></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td><input type="checkbox"/></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td><input type="checkbox"/></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td><input type="checkbox"/></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td><input type="checkbox"/></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td><input type="checkbox"/></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td><input type="checkbox"/></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td><input type="checkbox"/></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td><input type="checkbox"/></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td><td><input type="checkbox"/></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td><td><input type="checkbox"/></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td><input type="checkbox"/></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td><input type="checkbox"/></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td><input type="checkbox"/></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td><td><input type="checkbox"/></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td><input type="checkbox"/></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="checkbox"/></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td><input type="checkbox"/></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td><input type="checkbox"/></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td><input type="checkbox"/></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td><input type="checkbox"/></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4"></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) (\$ 0</td> </tr> <tr> <td colspan="8"> <p>**or number previously paid, if greater; For Reissues, see above</p> <p>RECEIVED APR 03 2002 TC 1700</p> </td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>	139	130	139	130	Non-English specification	<input type="checkbox"/>	147	2,520	147	2,520	For filing a request for reexamination	<input type="checkbox"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>	115	110	215	55	Extension for reply within first month	<input type="checkbox"/>	116	390	216	195	Extension for reply within second month	<input type="checkbox"/>	117	890	217	445	Extension for reply within third month	<input type="checkbox"/>	118	1,390	218	695	Extension for reply within fourth month	<input type="checkbox"/>	128	1,890	228	945	Extension for reply within fifth month	<input type="checkbox"/>	119	310	219	155	Notice of Appeal	<input type="checkbox"/>	120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>	121	270	221	135	Request for oral hearing	<input type="checkbox"/>	138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>	140	110	240	55	Petition to revive – unavoidable	<input type="checkbox"/>	141	1,240	241	620	Petition to revive – unintentional	<input type="checkbox"/>	142	1,240	242	620	Utility issue fee (or reissue)	<input type="checkbox"/>	143	440	243	220	Design issue fee	<input type="checkbox"/>	144	600	244	300	Plant issue fee	<input type="checkbox"/>	122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>	123	130	123	130	Petitions related to provisional applications	<input type="checkbox"/>	126	180	126	180	Submission of Information Disclosure Stmt	<input type="checkbox"/>	581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>	179	710	279	355	Request for Continued Examination (RCE)	<input type="checkbox"/>	169	900	169	900	Request for expedited examination of a design application	<input type="checkbox"/>	Other fee (specify) _____								*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0				<p>**or number previously paid, if greater; For Reissues, see above</p> <p>RECEIVED APR 03 2002 TC 1700</p>							
Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																								
105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>																																																																																																																																																																																																								
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>																																																																																																																																																																																																								
139	130	139	130	Non-English specification	<input type="checkbox"/>																																																																																																																																																																																																								
147	2,520	147	2,520	For filing a request for reexamination	<input type="checkbox"/>																																																																																																																																																																																																								
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>																																																																																																																																																																																																								
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>																																																																																																																																																																																																								
115	110	215	55	Extension for reply within first month	<input type="checkbox"/>																																																																																																																																																																																																								
116	390	216	195	Extension for reply within second month	<input type="checkbox"/>																																																																																																																																																																																																								
117	890	217	445	Extension for reply within third month	<input type="checkbox"/>																																																																																																																																																																																																								
118	1,390	218	695	Extension for reply within fourth month	<input type="checkbox"/>																																																																																																																																																																																																								
128	1,890	228	945	Extension for reply within fifth month	<input type="checkbox"/>																																																																																																																																																																																																								
119	310	219	155	Notice of Appeal	<input type="checkbox"/>																																																																																																																																																																																																								
120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>																																																																																																																																																																																																								
121	270	221	135	Request for oral hearing	<input type="checkbox"/>																																																																																																																																																																																																								
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>																																																																																																																																																																																																								
140	110	240	55	Petition to revive – unavoidable	<input type="checkbox"/>																																																																																																																																																																																																								
141	1,240	241	620	Petition to revive – unintentional	<input type="checkbox"/>																																																																																																																																																																																																								
142	1,240	242	620	Utility issue fee (or reissue)	<input type="checkbox"/>																																																																																																																																																																																																								
143	440	243	220	Design issue fee	<input type="checkbox"/>																																																																																																																																																																																																								
144	600	244	300	Plant issue fee	<input type="checkbox"/>																																																																																																																																																																																																								
122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>																																																																																																																																																																																																								
123	130	123	130	Petitions related to provisional applications	<input type="checkbox"/>																																																																																																																																																																																																								
126	180	126	180	Submission of Information Disclosure Stmt	<input type="checkbox"/>																																																																																																																																																																																																								
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>																																																																																																																																																																																																								
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>																																																																																																																																																																																																								
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>																																																																																																																																																																																																								
179	710	279	355	Request for Continued Examination (RCE)	<input type="checkbox"/>																																																																																																																																																																																																								
169	900	169	900	Request for expedited examination of a design application	<input type="checkbox"/>																																																																																																																																																																																																								
Other fee (specify) _____																																																																																																																																																																																																													
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0																																																																																																																																																																																																									
<p>**or number previously paid, if greater; For Reissues, see above</p> <p>RECEIVED APR 03 2002 TC 1700</p>																																																																																																																																																																																																													

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Marc A. Sockol	Registration No. (Attorney/Agent)	40,823	Telephone	650.856.6500
Signature				Date	October 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.